

9/24/07

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**WOOD**

Serial No.: 09/781,201

Filed: February 13, 2001

Art Unit: 2135

Conf. No.: 7037

Examiner: T. A. Gyorfi

Atty. Docket No.: 05-163-US

**AUTHENTICATION DEVICE  
HAVING EMBEDDED  
BISTABLE DISPLAY, AND  
ASSOCIATED SYSTEM AND  
METHOD**

**Mail Stop 16**  
Director of the U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR REFUND**

Dear Sir:

1. **REFUND REQUEST**

This is a request for a refund with respect to a charge to a credit card on July 23, 2007, for the above-identified

☒ application.

☐ patent.

☒ A copy of the Electronic Patent Application Fee Transmittal, in which the error referred to occurs, accompanies this request.

**2. FEES CHARGED FOR WHICH REFUND REQUESTED**

		<u>AMOUNT OF REFUND REQUESTED</u>
<input type="checkbox"/>	Filing Fee	
<input type="checkbox"/>	Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e))	
	<i>and/or</i>	
<input type="checkbox"/>	Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e))	
<input type="checkbox"/>	Extension of term	
	<input type="checkbox"/> first month	
	<input type="checkbox"/> second month	
	<input type="checkbox"/> third month	
	<input type="checkbox"/> fourth month	
	<input type="checkbox"/> fifth month	
<input checked="" type="checkbox"/>	Excess Claims	720.00
<input type="checkbox"/>	Issue fee	
<input type="checkbox"/>	Petition fee	
<input type="checkbox"/>	Patent Maintenance Fee	
	<input type="checkbox"/> first maintenance fee	
	<input type="checkbox"/> second maintenance fee	
	<input type="checkbox"/> third maintenance fee	
<input type="checkbox"/>	Patent maintenance fee surcharge	
<input type="checkbox"/>	Other: Appeal Fee	
<b>TOTAL REFUND REQUESTED</b>		<b>\$720.00</b>

**3. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR:**

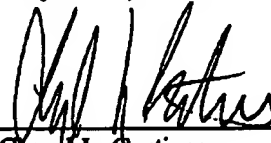
Although Applicant paid large entity filing fees at the outset of filing the above-referenced application, Applicant, at the time of filing the application (on February 13, 2001) as well throughout prosecution of the application, including at the time of filing the Request for Continued Examination ("RCE") and related papers on July 23, 2007, is and always has been entitled to claim small entity status. Therefore, payment of the extra claims fees and RCE filing fees at the large entity rate on July 23, 2007, was made in error. Thus, a refund of one-half of the extra claims fees and RCE filing fees, totalling \$720.00, paid on July 23, 2007, is hereby requested. Payment of the large entity fees was in error. Applicant is entitled to claim small entity status. This request for refund is timely in accordance with 37 C.F.R. §1.26(a) and §1.28(a).

**4. MANNER OF REFUND**

Please make refund by:

- ☐ crediting Account No. 18-0582.
- ☒ refunding payment to the credit card used on July 23, 2007.

Respectfully submitted,



Cheryl L. Gastineau  
Reg. No. 39,469

Dated: September 24, 2007

REED SMITH LLP  
P.O. Box 488  
Pittsburgh, PA 15230-0488  
(412) 288-4152

Agent for Applicant

# Electronic Patent Application Fee Transmittal

Application Number:

09781201

Filing Date:

13-Feb-2001

Refund Ref:  
10/04/2007

0030045522

Credit Card Refund Total:

\$720.00

Master C. Title of Invention:  
10/04/2007 SDIRETAI 00000003 09781201

Authentication device having embedded bistable display, and associated system and method

01 FC:1202 225.00 OP  
02 FC:1201 100.00 OP  
03 FC:1801 395.00 OP

First Named Inventor/Applicant Name:

Roger D. Wood

Filer:

Cheryl L. Gastineau/Jody Burtner

Attorney Docket Number:

06683.0002.NPUS00

Filed as Large Entity

## Utility Filing Fees

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
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Basic Filing:

Pages:

Claims:

Claims in excess of 20	1202	9	50	450
Independent claims in excess of 3	1201	1	200	200

Miscellaneous-Filing:

Petition:

Patent-Appeals-and-Interference:

Post-Allowance-and-Post-Issuance:

Adjustment date: 10/04/2007 SDIRETAI  
07/24/2007 INTFSD 0000-172 09781201  
01 FC:1202 -450.00 OP  
02 FC:1201 -200.00 OP  
03 FC:1801 -790.00 OP

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
<b>Extension-of-Time:</b>				
<b>Miscellaneous:</b>				
Request for continued examination	1801	1	790	790
<b>Total in USD (\$)</b>				<b>1440</b>